



Local Anesthesia Techniques for Ocular Surgery

Sezen Akkaya¹ and Shuyan Wang²

¹Department of Ophthalmology, Fatih Sultan Mehmet Training and Research Hospital, Istanbul, Turkey

²Department of Anesthesiology, Tongren Hospital, Shanghai Jiao Tong University School of Medicine, Shanghai, China

Abstract

Several anesthesia techniques are available for modern intraocular surgery. When choosing the technique, the anesthetic targets and individual characteristics for each patient should be considered. Cataract is one of the common surgeries in the elderly with chronic coronary diseases, resulting in ischemic events during general anesthesia. Thus, regional anesthesia is generally preferable due to its advantages and lower complication rates. Various local anesthesia techniques are available for ocular surgery including retrobulbar (intraconal), peribulbar (extraconal), sub-Tenon's, limbal, intracameral, and topical anesthesia. In this review, we discuss the advantages and disadvantages of such techniques.

Keywords

Ocular surgery, Anesthesia techniques, Advantages and disadvantages

One of the features of modern eye surgery is that the number of operation is large and the procedure is generally small and quick, but the patients are a high-risk group. Eye surgery such as cataract is one of the most frequently performed surgeries in the elderly. The majority of patients present with other risk factors, such as diabetes, chronic coronary diseases, hypertension and dementia. There are several different anesthetic choices, including regional anesthesia with or without sedation and general anesthesia. Besides the ophthalmological criteria, the decision-making for an intraoperative anesthesia method also depends on the expectations of the surgeon, the anesthetist/anesthesiologist, and the patient. In this article, various anesthesia techniques for ocular surgery are reviewed.

Regional Anesthesia

Inocular surgery, regional anesthesia are generally methods of choice because the old patients always combined with substantial co-morbidity and the associated higher risk for perioperative complications [1]. Briefly, regional anesthesia includes retrobulbar (intraconal), peribulbar (extraconal), sub-Tenon's, limbal, intracameral, and topical anesthesia [2].

Retrobulbar (intraconal), Peribulbar (extraconal) Anesthesia

At the retrobulbar anesthesia (RBA), local anesthetic

is injected into the retrobulbar space, the area located behind the globe of the eye. This injection provides akinesia of the extraocular muscles by blocking cranial nerves 2, 3, and 6, which prevents movement of the globe. Retrobulbar block also provides sensory anesthesia of the cornea, uvea, and conjunctiva by blocking the ciliary nerves [3].

Peribulbar block is very similar to the retrobulbar block. Anesthetic is injected into the orbit; however, it is administered outside of the muscle cone. Therefore, this technique is lower risk than the retrobulbar block, but achieves a lesser degree of anesthesia and especially akinesia [4]. Absolute contraindications of RBA are hypersensitivity or allergy to local anesthetic, orbital infection or inflammation. Relative contraindications are increased axial length of the globe, bleeding diathesis, thyroid-associated orbitopathy, space-occupying lesion within the orbit, previous scleral buckling operation [5].

Complications of RBA are retrobulbar hemorrhage, globe perforation by mistake, central nervous system toxicity and optic neuropathies which are rare [5]. The incidence of retrobulbar hemorrhage is 0.1 to 1.7% and the risk increases with the use of systemic anticoagulants, non-steroidal anti-inflammatory drugs, and steroids. Rise in intraocular pressure (IOP), which precludes perfusion of optic nerve and central retinal artery, can result from increased intraconal pressure [6]. Although prompt decompression usually produces successful results, persistent scotoma or optic neuropathy may develop. In default of related retrobulbar hemorrhage, retinal vascular circumstances including central retinal artery and vein occlusions can be associated with RBA, although extremely rare [7-9].

Sub-Tenon's Anesthesia:

As previously described by Stevens [10], a blunt sub-Tenon's cannula is used without inserting a sharp needle into the retro-orbital or periorbital spaces during the injection of the anesthetic agent into posterior sub-Tenon. This technique has been shown to be effective and safe. Phacoemulsification, namely cataract surgery, with local anesthesia has been commonly used since 1996 when Fichman [11], introduced this technique. The intraoper-

ative pain and systemic sedation requirement can be decreased by performing sub-Tenon's injection. Compared to infiltration anesthesia, the major benefits of this technique are reduced risk of globe and optic disc injuries and patient anxiety, quick visual recovery and avoidance of postoperative diplopia. Sub-Tenon's anesthesia is a simple and reliable method that provides perfect anesthesia without having to intervene with sharp instruments. On the other hand, procedure-related can be seen, including subconjunctival hemorrhage, and chemosis [10]. It does not cause acute ischemic optic neuropathy, extraocular muscle paralysis, increased intraocular pressure, globe perforation, periocular hemorrhage, or retrobulbar hemorrhage [12].

If hyaluronidase is added to local anesthesia (hyaluronidase is potentially allergic though), it can increase local anesthetic diffusion and shorten the onset time. This technique provides the advantages of rapid-onset and improved anesthesia and akinesia, compared to topical anesthesia alone [13].

Topical Anesthesia

Topical anesthetic drops are used to anesthetize the cornea. Intraocular lidocaine can be used in conjunction to improve anesthesia. This method does not provide any akinesia. The progression of clear corneal phacoemulsification and intraocular structure-sensitive pain manipulation are minimal. Phacoemulsification can be performed under topical application of anesthetic drops. Recently, topical anesthesia in cataract surgery has been popularized thanks to its benefits over injected local anesthesia. This technique results in minimal pain without intra- or postoperative problems, such as ptosis, globe perforation or optic nerve lesions; and it accelerates postoperative healing. However, topical anesthesia-related pain may result in complications. Since multiple eye drops (3-5 times) are used for analgesia, these topical anesthetics have toxic effects on the corneal epithelium. Furthermore, topical anesthesia can reduce the visibility for the surgeon which complicates surgery, leads to discomfort in the postoperative period, reduces lacrimation, and rarely causes severe keratopathy [14].

Limbal Anesthesia

To avoid potential corneal toxic effects of topical anesthesia, several techniques of surface anesthesia have been developed [15-17]. Some authors suggested an alternative method, called limbal anesthesia instead of topical anesthesia, which applied a cellulose ophthalmic sponge moistened with preservative-free lidocaine hydrochloride to the limbal region for 45 seconds prior to the start of surgery [18]. The main advantage of this technique is the lack of epithelial involvement, resulting in a greater well-being for the individual and rapid visual recovery [19].

Intracameral Anesthesia

Topical anesthesia alone may not provide adequate

iris and ciliary body anesthesia. Combining phacoemulsification surgery with topical anesthesia using intracameral 0.5% lidocaine, therefore, yields improved cataract management [20,21].

Trabeculectomy involves cauterization of episcleral vessels and peripheral iridectomy, which can lead to pain. In this case, supplemental anesthesia is required during surgery. We suggest that, rather in the initiation of surgery, intracameral lidocaine should be administered during the iridectomy stage [22].

Ultrasound-guided Ophthalmic Regional Anesthesia

Although traditional techniques are reliable and safe, ophthalmic regional anesthesia is not without risk. Extraconal and intraconal blocks cause more severe complications than other peripheral nerve blocks [23]. Ultrasound may reduce the rate of intraconal needle placement and thereby reduce the infrequent but devastating consequences that may follow [24]. Ultrasonography (USG)-assisted eye blocks provide real-time visualization of the position of the needle and local anesthetic spread. In addition, USG-guided eye blocks offer real-time visualization of the position of the needle and local anesthetic spread. One of the main advantages of sonic guidance is that it is able to identify abnormalities, such as staphyloma, and rules out the hazard of globe perforation [25].

Monitored Anesthesia Care (MAC)

Eye surgery usually requires immobility of the eye and profound anesthesia of the surgical site. Regional anesthetic techniques are generally reliable and safe. Discomfort and anxiety are associated with many of these blocks, and so are rare but severe complications [26]. To ensure a painless and safe application, injection of the local anesthetic supplemented with intravenous sedation and continuous patient monitoring or MAC are frequently preferred [27-29]. According to the ASA, MAC is a planned procedure during which the patient undergoes local anesthesia together with sedation and analgesia [30]. In high risk patients, the infusion of sedatives and analgesics should be individualized. The discretion and judgment of an experienced anesthesiologist are required for the safety and efficacy profiles [31]. Combined PtcCO₂ and SpO₂ monitoring, short term general anesthesia for retro-bulbar block in ophthalmic surgery generates no significant hypercapnia [32]. The current routine monitoring seems to be sufficient and save.

General Anesthesia

General anesthesia is rarely used for intraocular surgery. However, for patients such as children or adults with limitations to their mental status, emergencies and for extended surgical procedures, general anesthesia may be

Table 1: Ocular Anesthesia Techniques.

Technique	Localization	Advantages/Disadvantages
General anesthesia	Rarely used.	For children or adults with restrictions to their mental status
Retrobulbar block	Anesthetic agent is injected into the orbit, inside of the muscle cone.	Higher risk but accomplishes better degree of anesthesia and particularly akinesia.
Peribulbar block	Anesthetic agent is injected into the orbit; nevertheless, outside of the muscle cone.	Lower risk than the retrobulbar block, but achieves a lesser levels of anesthesia and particularly akinesia.
Sub-Tenon block	Anesthetic agent is performed in the sub-Tenon space by a blunt-tipped cannula.	Offers the benefits of fast beginning and better anesthesia and akinesia.
Topical anesthetic drops	Topical anesthetic drops are used to anesthetize the cornea. Intraocular lidocaine can be used in conjunction to improve anesthesia.	Does not provide any akinesia.

more suitable. However, general anesthesia may increase postoperative cognitive dysfunction (POCD) and postoperative delirium and, probably, may even cause permanent cognitive decline in susceptible dementia patients. Patients should be clinically evaluated for the most suitable mode and technique of anesthesia, as the majority of dementia patients with cataracts are cooperative. Lo-co-regional anesthesia techniques can be safely utilized, thereby, avoiding general anesthesia. Of note, there are patients who are in the late stages of dementia for whom general anesthesia is warranted [33].

In conclusion, many anesthesia techniques can be applied in modern intraocular surgery. Given the essential role of anesthesia in ophthalmic surgery, Natasha, et al. present a quality score to evaluate the quality of ophthalmic anesthesia, particularly with regard to the development of surgical complications [34]. There are advantages and disadvantages associated with each type of anesthesia (Table 1). Regional anesthesia along with MAC is generally methods of choice. General anesthesia is mostly reserved for the pediatric patients and for patients with specific comorbidity preclude regional anesthesia and/or for patients with lengthy procedure. Careful patient screening is essential to determine which form of anesthesia is best suited. A perioperative physician should develop a checklist to avoid missing data that can influence the choice of anesthesia. A medical history and physical examination with review of medications are the mainstays for the evaluation. In addition, a particular attention should be paid to the patient's ability to communicate, to lie flat and keep still, and to follow instructions. A history of congestive heart failure, chronic obstructive pulmonary disease, chronic bronchitis, claustrophobia, anticoagulation status, and use of alpha-blockers (tamsulosin) should be addressed in each patient [35]. One should kept in mind that each technique has its own advantages and disadvantages, and the anesthesia provider should select the anesthesia technique

carefully after full evaluation of the pros and cons of each technique to maximize the preferable clinical outcome.

Conflict of Interest

None.

Corresponding Author: Sezen Akkaya, MD, Department of Ophthalmology, Fatih Sultan Mehmet Training and Research Hospital, Fıstıklı Namazgah Sok. Salacak Mah. No: 3 Konakkaya, Üsküdar, Istanbul, Turkey, Tel: 0532-402-48-98, E-mail: drsezenakkaya@gmail.com

Editor: Yuan-Xiang Tao, Ph.D., M.D., Professor and Vice Chair, Director, Center for Pain Medicine Research Department of Anesthesiology, Editor in Chief, The Translational Perioperative and Pain Medicine, Rutgers, The State University of New Jersey, New Jersey Medical School, 185 S. Orange Ave., MSB, E-661 Newark, NJ 07103. Phone: 973-972-9812 (O) Email: yt211@njms.rutgers.edu

Additional publication details

Journal short name: Transl Perioper & Pain Med

Received Date: December 22, 2017

Accepted Date: January 23, 2018

Published Date: January 25, 2018

Citation: Akkaya S, Wang S. Local Anesthesia Techniques for Ocular Surgery. Transl Perioper & Pain Med 2018; 5 (1):14-18.

Copyright: © 2018 Akkaya S, et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

References

1. Katz J, Feldman MA, Bass EB, Lubomski LH, Tielsch JM, Petty BG, et al. Adverse intraoperative medical events and their association with anesthesia management strategies in cataract surgery. *Ophthalmology*. 2001;108(10):1721-6. Epub 2001/10/03. PubMed PMID: 11581040.
2. Sarkar S, Maiti P, Nag S, Sasmal NK, Biswas M. Changing trends of ocular anaesthesia in phaco-emulsification surgery. *Journal of the Indian Medical Association*. 2010;108(12):823-5, 8. Epub 2011/06/15. PubMed PMID: 21661456.
3. Yanoff M, Duker JS, Augsburger JJ, et al. *Ophthalmology*. 3rd ed. St. Louis, MO: Mosby; 2004. 441-446.
4. Alhassan MB, Kyari F, Ejere HO. Peribulbar versus retrobulbar anaesthesia for cataract surgery. *The Cochrane database of systematic reviews*. 2015;(7):Cd004083. Epub 2015/07/03. doi: 10.1002/14651858.CD004083.pub3. PubMed PMID: 26133124.
5. Eke T, Thompson JR. The National Survey of Local Anaesthesia for Ocular Surgery. II. Safety profiles of local anaesthesia techniques. *Eye (London, England)*. 1999;13 (Pt 2):196-204. Epub 1999/08/18. doi: 10.1038/eye.1999.50. PubMed PMID: 10450381.
6. Cionni RJ, Osher RH. Retrobulbar hemorrhage. *Ophthalmology*. 1991;98(8):1153-5. Epub 1991/08/01. PubMed PMID: 1923350.
7. Klein ML, Jampol LM, Condon PI, Rice TA, Serjeant GR. Central retinal artery occlusion without retrobulbar hemorrhage after retrobulbar anesthesia. *American journal of ophthalmology*. 1982;93(5):573-7. Epub 1982/05/01. PubMed PMID: 7081356.
8. Sullivan KL, Brown GC, Forman AR, Sergott RC, Flanagan JC. Retrobulbar anesthesia and retinal vascular obstruction. *Ophthalmology*. 1983;90(4):373-7. Epub 1983/04/01. PubMed PMID: 6877771.
9. Vinerovsky A, Rath EZ, Rehany U, Rumelt S. Central retinal artery occlusion after peribulbar anesthesia. *Journal of cataract and refractive surgery*. 2004;30(4):913-5. Epub 2004/04/20. doi: 10.1016/j.jcrs.2003.08.021. PubMed PMID: 15093661.
10. Stevens JD. A new local anesthesia technique for cataract extraction by one quadrant sub-Tenon's infiltration. *The British journal of ophthalmology*. 1992;76(11):670-4. Epub 1992/11/01. PubMed PMID: 1477043; PubMed Central PMCID: PMC504372.
11. Fichman RA. Use of topical anesthesia alone in cataract surgery. *Journal of cataract and refractive surgery*. 1996;22(5):612-4. Epub 1996/06/01. PubMed PMID: 8784636.
12. Roman SJ, Chong Sit DA, Boureau CM, Auclin FX, Ullern MM. Sub-Tenon's anaesthesia: an efficient and safe technique. *The British journal of ophthalmology*. 1997;81(8):673-6. Epub 1997/08/01. PubMed PMID: 9349156; PubMed Central PMCID: PMC5172293.
13. Palte HD. Ophthalmic regional blocks: management, challenges, and solutions. *Local and regional anesthesia*. 2015;8:57-70. Epub 2015/09/01. doi: 10.2147/lra.s64806. PubMed PMID: 26316814; PubMed Central PMCID: PMC54550180.
14. Nicholson G, Mantovani C, Hall GM. Topical anaesthesia for cataract surgery. *British journal of anaesthesia*. 2001;86(6):900-1. Epub 2001/09/28. PubMed PMID: 11573611.
15. Bloomberg LB, Pellican KJ. Topical anesthesia using the Bloomberg SuperNumb Anesthetic Ring. *Journal of cataract and refractive surgery*. 1995;21(1):16-20. Epub 1995/01/01. PubMed PMID: 7722892.
16. Koch PS. Efficacy of lidocaine 2% jelly as a topical agent in cataract surgery. *Journal of cataract and refractive surgery*. 1999;25(5):632-4. Epub 1999/05/20. PubMed PMID: 10330635.
17. Lanzetta P, Virgili G, Crovato S, Bandello F, Menchini U. Perilimbal topical anesthesia for clear corneal phacoemulsification. *Journal of cataract and refractive surgery*. 2000;26(11):1642-6. Epub 2000/11/21. PubMed PMID: 11084273.
18. Cagini C, Sbordone GB, Ricci AL, Menduno P. Efficacy and safety of limbal anaesthesia for clear cornea phacoemulsification. *Acta ophthalmologica Scandinavica*. 2004;82(3 Pt 1):315-6. Epub 2004/04/30. doi: 10.1111/j.1395-3907.2004.00259.x. PubMed PMID: 15115458.
19. Cagini C, De Carolis A, Fiore T, Iaccheri B, Giordanelli A, Romanelli D. Limbal anaesthesia versus topical anaesthesia for clear corneal phacoemulsification. *Acta ophthalmologica Scandinavica*. 2006;84(1):105-9. Epub 2006/02/01. doi: 10.1111/j.1600-0420.2005.00563.x. PubMed PMID: 16445448.
20. Gupta SK, Kumar A, Kumar D, Agarwal S. Manual small incision cataract surgery under topical anesthesia with intracameral lignocaine: study on pain evaluation and surgical outcome. *Indian journal of ophthalmology*. 2009;57(1):3-7. Epub 2008/12/17. PubMed PMID: 19075400; PubMed Central PMCID: PMC512661525.
21. Lofoco G, Ciucci F, Bardocci A, Quercioli P, De Gaetano C, Ghirelli G, et al. Efficacy of topical plus intracameral anesthesia for cataract surgery in high myopia: randomized controlled trial. *Journal of cataract and refractive surgery*. 2008;34(10):1664-8. Epub 2008/09/25. doi: 10.1016/j.jcrs.2008.06.019. PubMed PMID: 18812115.
22. Joshi RS. Proparacaine hydrochloride topical drop and intracameral 0.5% lignocaine for phacotrabeculectomy in patients with primary open angle glaucoma. *Middle East African journal of ophthalmology*. 2014;21(3):210-5. Epub 2014/08/08. doi: 10.4103/0974-9233.134669. PubMed PMID: 25100903; PubMed Central PMCID: PMC5123271.
23. Lee LA, Posner KL, Kent CD, Domino KB. Complications associated with peripheral nerve blocks: lessons from the ASA Closed Claims Project. *International anesthesiology clinics*. 2011;49(3):56-67. Epub 2011/06/24. doi: 10.1097/AIA.0b013e31821a0294. PubMed PMID: 21697670.
24. Najman IE, Meirelles R, Ramos LB, Guimaraes TC, do Nascimento P, Jr. A randomised controlled trial of periconal eye blockade with or without ultrasound guidance. *Anaesthesia*. 2015;70(5):571-6. Epub 2015/01/23. doi: 10.1111/anae.12976. PubMed PMID: 25612162.
25. Gayer S, Palte HD. Ultrasound-guided ophthalmic regional anesthesia. *Current opinion in anaesthesiology*. 2016;29(6):655-61. Epub 2016/09/23. doi: 10.1097/aco.0000000000000393. PubMed PMID: 27652513.
26. Heine G, Gabriel H, Weindler J, Ruprecht KW, Kindermann W. Painful regional anaesthesia induces an immunological stress reaction: the model of retrobulbar anaesthesia. *European journal of anaesthesiology*. 2001;18(8):505-10. Epub 2001/07/28. PubMed PMID: 11473556.
27. Ahmad S. Sedation techniques in ophthalmic anesthesia. *Ophthalmology clinics of North America*. 2006;19(2):193-

-
202. Epub 2006/05/17. doi: 10.1016/j.ohc.2006.02.004. PubMed PMID: 16701156.
28. Greenhalgh DL, Kumar CM. Sedation during ophthalmic surgery. *European journal of anaesthesiology*. 2008;25(9):701-7. Epub 2008/05/13. doi: 10.1017/s0265021508004389. PubMed PMID: 18471335.
29. Ryu JH, So YM, Hwang JW, Do SH. Optimal target concentration of remifentanyl during cataract surgery with monitored anesthesia care. *Journal of clinical anesthesia*. 2010;22(7):533-7. Epub 2010/11/09. doi: 10.1016/j.jclinane.2010.02.007. PubMed PMID: 21056810.
30. Ghisi D, Fanelli A, Tosi M, Nuzzi M, Fanelli G. Monitored anesthesia care. *Minerva anesthesiologica*. 2005;71(9):533-8. Epub 2005/09/17. PubMed PMID: 16166913.
31. Sohn HM, Ryu JH. Monitored anesthesia care in and outside the operating room. *Korean journal of anesthesiology*. 2016;69(4):319-26. Epub 2016/08/03. doi: 10.4097/kjae.2016.69.4.319. PubMed PMID: 27482307; PubMed Central PMCID: PMC4967625.
32. Baulig W, Weber M, Beck-Schimmer B, Theusinger OM, Biro P. Short term general anesthesia for retro-bulbar block in ophthalmic surgery generates no significant hypercapnia. *Journal of clinical monitoring and computing*. 2017. Epub 2017/03/12. doi: 10.1007/s10877-017-0011-5. PubMed PMID: 28283852.
33. Kumar CM, Seet E. Cataract surgery in dementia patients-time to reconsider anaesthetic options. *British journal of anaesthesia*. 2016;117(4):421-5. Epub 2017/01/13. doi: 10.1093/bja/aew301. PubMed PMID: 28077527.
34. Spiteri N, Sidaras G, Czanner G, Batterbury M, Kaye SB. Assessing the quality of ophthalmic anesthesia. *Journal of clinical anesthesia*. 2015;27(4):285-9. Epub 2015/02/24. doi: 10.1016/j.jclinane.2015.01.008. PubMed PMID: 25704674.
35. Hosoda Y, Kuriyama S, Jingami Y, Hattori H, Hayashi H, Matsumoto M. A comparison of patient pain and visual outcome using topical anesthesia versus regional anesthesia during cataract surgery. *Clinical ophthalmology (Auckland, NZ)*. 2016;10:1139-44. Epub 2016/07/07. doi: 10.2147/oph.s109360. PubMed PMID: 27382247; PubMed Central PMCID: PMC4920242.